

## FICA REQUIREMENTS FOR INDIVIDUAL INVESTORS



You will need to provide us with specific supporting documentation to FICA verify a Custody and Settlement Account for an individual investor

### Required Documentation:

- 1 A certified copy of your photo and information on your open green bar coded valid South African ID Book or Passport, or Smartcard ID (both sides) or valid Passport (for foreign nationals).
- 2 A certified copy of your service bill e.g., rates account, electricity bill or telephone bill bearing your name and current residential address (or erf or stand number and suburb) not older than three months in order to verify your physical address details
- 3 A certified copy of a document issued by SARS to verify your tax number (where one has been issued). If you do not have a tax number, please confirm this in writing
- 4 A certified copy of your bank statement (not an internet bank statement or cancelled cheque) to verify your bank account details that is not older than three months. Alternatively, we will accept a signed and stamped letter from your bank on a letterhead confirming your banking details

### Please note that we may not accept third party banking details

- 5 Completed and signed Dividends Tax Beneficial Owner Declaration of Status form
- 6 Completed and signed FATCA/CRS form
- 7 Details of your non-controlled account at a South African Stockbroker where you have elected to trade through your own broker and not through Computershare's Deal Routing Service. If you do not have a broker, please confirm in writing that you will appoint one for trading purposes when necessary and that Computershare is not liable for trading on your behalf
- 8 A signed copy of our fee schedule to confirm you have accepted our fees
- 9 If you have not already provided us with a signed Custody and Settlement Agreement, please include this with the documentation