

EQUATEPLUS +

RELEASE NOTES

66

October 12<sup>th</sup> 2024 ▶



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A person with long dark hair, wearing a white shirt, is sitting at a wooden desk. They are holding a smartphone in their hands, looking at the screen. In front of them is an open silver laptop. To the right of the laptop is a white coffee cup on a saucer. The background is a bright, out-of-focus window with greenery outside. A semi-transparent grey banner is overlaid across the middle of the image, containing the word "Introduction" in white text. Two small purple triangles are positioned on the left and right sides of the banner.

# Introduction



# 1. Introduction

## 1.1 When is it happening?

This document details system enhancements to EquatePlus and our other offerings taking place in the coming weeks.

While certain features are not available to all system users, the improvements will benefit plan participants, corporate plan administrators and Computershare users.

If you have any questions related to the new features, functionality, or information outlined in this document, please contact your relationship manager.

Offering	Release #	Date	System Availability
EquatePlus*	66	<b>Start:</b> 12 October 2024 @ 07:00 CET <b>End:</b> 13 October 2024 @ 17:00 CET	EquatePlus, EquateMobile, EquateAPI and EquateInsights unavailable

Offering	Release #	Date	System Availability
EquateMobile	3.18	14 October 2024	No impact
EquateAPI	2.5	16 October 2024	No impact
EquateInsights	1.0.7	23 October 2024	EquateInsights unavailable

\*During this scheduled downtime of EquatePlus, users attempting to access the system will be presented with the system unavailable message in their preferred language.



A man with a beard and glasses is sitting at a desk, working on a laptop. He is wearing a dark grey t-shirt. The background shows a living room with a sofa and a window. A purple banner with white text is overlaid on the image.

## Participant Experience

## 2. Participant experience

The upcoming release includes the following enhancements for participants:

### 2.1 EquateMobile – purchase plans' contributions module

The plan details screen of purchase plans includes contribution information. Earlier this year we added a progress bar to EquatePlus to show participants where they were in the purchase cycle (collecting funds, shares purchased, shares allocated). EquateMobile received a basic version of this focusing on contributions.

We have now enhanced the EquateMobile screen to include:

- > A visual progress bar
- > Relevant information by stage, including contribution amount, shares purchased, share price, purchase date and handling of residual cash.

By including the stages, the participant can link the original contributions to the resulting allocations.

The updated screen will be available on EquateMobile with the next release.

Note: this feature is available for ESPP, APSS and 423b plans configured to use the ESPP module layout; speak with your relationship or account manager if you have questions on your set up.

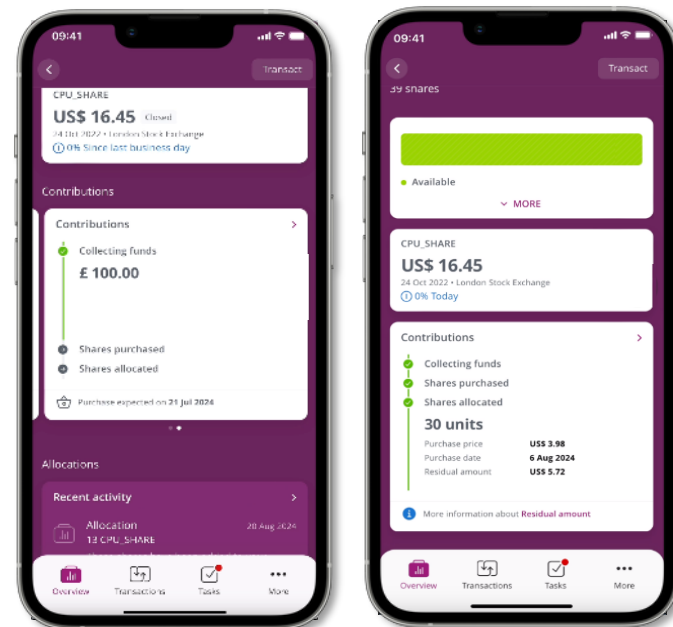


Illustration: Purchase plan contribution module with progress bar

- ✓ Participants can follow where their contributions are in the process, removing uncertainty
- ✓ Easy to understand visual design

## 2. Participant experience

The upcoming release includes the following enhancements for participants:

### 2.2 EquateMobile – minor improvements to personal details screen

The Personal Details screen on EquateMobile allows our app users to easily update their personal information.

We have made a few minor enhancements:

- › Improved the wording around NPI, the Natural Person Identifier, displayed to participants in scope of MiFID II (EU).
- › Allow defaults to be set and changed independently, i.e. the app user can change which email address is used by default without having to update or confirm their default phone number or default mailing address.

The changes are live.

✓ Improved user experience by providing clarity and simpler update process

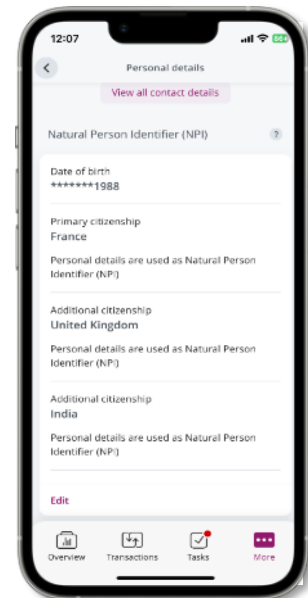


Illustration: Improved NPI wording

## 2. Participant experience

The upcoming release includes the following enhancements for participants:

### 2.3 Canadian bank account entry

Participants can add bank account details on EquatePlus to receive payments from transactions and dividend distributions.

The bank account entry screen for Canadian banks has been enhanced to better capture the components of the Canadian banks clearing codes.

Participants will see the terminology and data input fields they expect for a Canadian bank account (CAD and USD accounts).

The changes are live.

- ✓ Easier to enter account accurately
- ✓ Fewer rejected payments

Add new bank account

1. Account location 2. Account details 3. Bank details 4. Beneficiary address 5. Account submission

### Account details

Please add your account details.

**Institution/Bank Number**

This is your 3-digit Institution/Bank Number which can be found on your cheque, on your online banking system direct deposit form or by contacting your bank directly.

**Transit/Branch Number**

This is your 5-digit Transit/Branch Number which can be found on your cheque, on your online banking system direct deposit form or by contacting your bank directly.

**Account Number**

Please enter your Account Number. This can be found on your bank statement or by contacting your bank directly.

**Account Name**

This is the name registered to your bank account. The name must be presented exactly as it appears on your account, failure to do so can result in the payment rejecting.

Back Next

Illustration: Account detail entry screen for a Canadian bank



**Corporate Experience**

The below details some new features now available to you.

### 3.1 Handling of pending grant acceptances

Active grant acceptance provides a legal framework to show the participant has accepted the terms of an award. In certain markets, and for certain clients, grants that are not yet accepted – i.e., that are still pending a participant response - should be excluded from the pre-vest election (“tax at vest”) and blocked from vesting and option exercise.

With this release, we are introducing several changes to facilitate this:

- › Automated exclusion and blocking of non-accepted awards
- › Enhanced reports R0490 Grant ReCap and R01113 TaV Execution Preview to better manage allocations pending acceptance.
- › Ability to upload grant acceptance responses without setting up grant acceptance elections

## + 3. Corporate Experience

The below details some new features now available to you.

### 3.1 Handling of pending grant acceptances - continued

In addition to improved handling for administrators, we updated the participant screens to inform participants of available awards pending grant acceptance.

These changes are available with the release, but they will only take effect if the plan has been configured accordingly. If no configuration changes were made, grant acceptance will continue to function as before.

Note: the required configuration is set by default for North American-contracted clients and available on request for all others.

**Stock Option Plan**  
Estimated gross value

<b>TOTAL</b>	<b>CHF 11,222.00</b>
155 options	
<b>LOCKED</b>	<b>CHF 0.00</b>
0	
<b>LOCKED: Pending grant acceptance</b>	<b>CHF 6,371.20</b>
88 options	
<b>AVAILABLE</b>	<b>CHF 0.00</b>
0	
<b>AVAILABLE: Pending grant acceptance</b>	<b>CHF 4,850.80</b>
67 options	

Transact Plan details

**Transaction**

1. Transaction type 2. Group 3. Quantity 4. Agreements 5. Summary

**Select the group for transaction**

Please select the group of allocations that you wish to review or transact on.

**CPU SHARE UK** Computershare Investor Services PLC

STRAIGHT-THRU

Shares From PlanAG1  
Market Price C. 100.00 as of Sep 27, 2022 0:00 am (NYSE)  
ISIN GB0009252882  
Total Quantity 1,360  
Available Quantity **360**

**⚠ Some allocations in this group are pending grant acceptance. If you wish to exercise them, please go to your tasks and accept.**

Plan	Instrument	Allocation date	Available quantity
DEMO_PLAN	CPU_SHARE_UK	Mar 1, 2023	400
DEMO_PLAN	CPU_SHARE_UK	Jun 8, 2023	150

See less Select

Illustrations: Participant screen showing both accepted and not accepted allocations

- ✓ Automated handling of pending grant acceptances reduces effort and risk
- ✓ Improved participant communication and awareness of the impact of not accepting the grant



# 3. Corporate Experience

The below details some new features now available to you.

## 3.2 EquateInsights – UK SIP reporting

EquateInsights is the new plan reporting solution for EquatePlus. It is being rolled out in stages as features and plan coverage are completed. The enhancements described here are available to clients using EquateInsights.

A range of existing EquateInsights reports were extended to include attributes needed to manage UK SIP. The reports impacted are:

- > Contribution election
- > Contribution history
- > Purchase
- > Transaction activity
- > Trade
- > Balance
- > Period movement

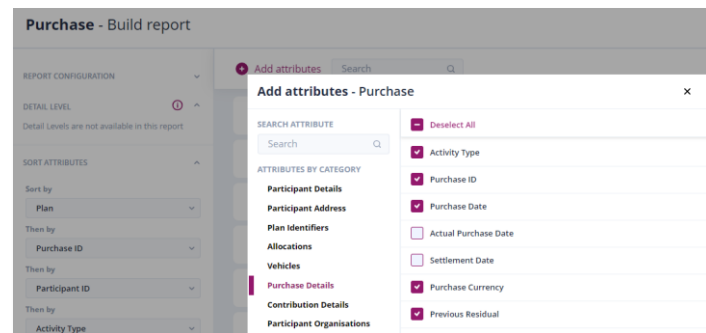


Illustration: Attributes of the Purchase report, now enhanced for SIP plans

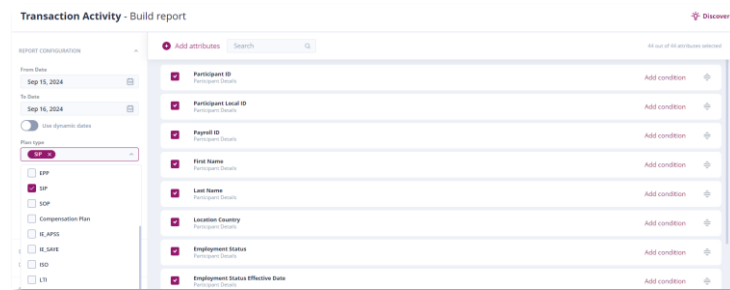


Illustration: Showing SIP plan selection for the transaction activity report

## + 3. Corporate Experience

The below details some new features now available to you.

### 3.2 EquateInsights – UK SIP reporting – continued

And two new reports were created:

- > SIP taxation payroll – Taxable funds

The Taxable funds report gives the information required to payrolls for calculation and deduction of taxes due. It includes data on participant details, executed transactions, vehicles and plan identifiers. Legacy report equivalent R0233.

- > SIP taxation payroll – Taxes deducted

The Taxes deducted report provides the information on where taxes have been withheld and forwarded to payroll for onward payment to the tax authorities. It includes data on participant details, executed transactions, taxes and deductions. Legacy report equivalent R0234.

The new and extended reports will be available in the next release of EquateInsights.

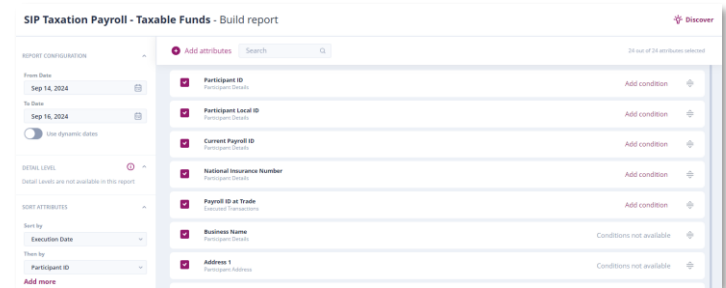


Illustration: The SIP Taxation Payroll – Taxable Funds report

- ✓ Expanding EquateInsights plan reporting coverage to UK SIP
- ✓ Demonstrates the flexibility and simplicity of EquateInsights: fewer reporting templates with expanded, carefully chosen attributes to meet a broad range of needs
- ✓ Allows more clients to benefit from EquateInsights

## + 3. Corporate Experience

The below details some new features now available to you.

### 3.3 EquateInsights – Company payroll accounts

The “Company payroll accounts” report is now available in the Demographics & Static Data section. It presents bank account details on record for each client payroll as of a specific date. It is similar to R0320 in the current EquatePlus reporting.

This new report is plan-agnostic and will be available to all EquateInsights users with the upcoming EquateInsights release.

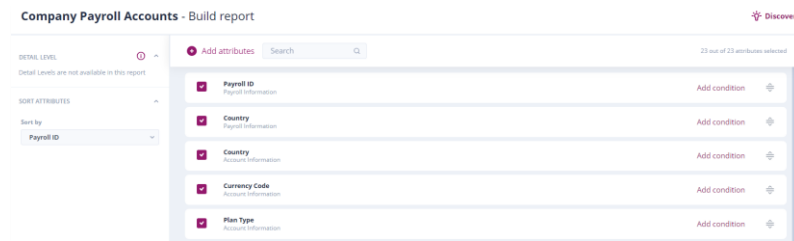


Illustration: The Company Payroll Accounts report

- ✓ Extends EquateInsights offering
- ✓ Improves the ease of use of the report

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