

FICA REQUIREMENTS FOR LISTED SOUTH AFRICAN AND FOREIGN COMPANIES

To FICA verify a Custody and Settlement Account for a listed South African or foreign company, you will need to provide us with specific supporting documentation.

A listed company is defined as a limited company whose shares are quoted on a “recognised stock exchange”. Computershare is exempted from verifying certain information for public listed companies. This also applies to wholly owned subsidiaries of the listed company

Required Documentation:

- 1 We require written confirmation of the registered name, registration number and physical address (verification documentation is not required). If the listed company has multiple offices, we require the physical business address of the head office and the registered investor company
- 2 A print-out from the exchange’s website or extract from the JSE Handbook confirming listing
- 3 Certified copy of the group structure in the annual financial statements or print-out from stock exchange website to prove the link between the listed company and subsidiary for wholly-owned subsidiaries (where applicable)
- 4 A certified copy of a board minute or resolution detailing persons authorised to act on behalf of the company. Please appoint a principal company officer for share dealing purposes.
- 5 Certified copies of identity documents or passports for the authorised signatories or persons of foreign listed companies
- 6 Certified copy of the company's bank statement to verify the bank account details.
- 7 Alternatively, we will accept a signed and stamped letter from your bankers on a letterhead confirming the bank account details. Please note that we may not accept third party banking details.
- 8 If you have not already provided us with a signed Custody and Settlement Agreement, please include this with the documentation.

Computershare (Pty) Ltd is an authorised Financial Services Provider and Participant regulated by the Financial Sector Conduct Authority and Strate Proprietary Limited.

To learn more, visit www.computershare.com.